Appl. No. 10/657,588

### **Amendments to the Drawings**

The attached sheet of drawings includes new Figure 15. No new matter is added with new Figure 15.

Attachment: New Sheet

#### **REMARKS**

This Response is submitted in reply to the Office Action dated June 29, 2005. Claims 1, 13 and 24 have been amended. The Specification has been amended. New Fig. 15 is added. No new matter has been added by any of these amendments or the new Figure.

A Supplemental Information Disclosure Statement and a Petition for a One Month Extension of Time to file this Response are submitted herewith. A check in the amount of \$300.00 is submitted herewith to cover the cost of the Supplemental Information Disclosure Statement and the one-month extension. Please charge deposit account number 02-1818 for any insufficiency of payment or credit for any overpayment.

The Office Action objected to the drawings under 37 CFR 1.83(a), stating that the drawings must show every feature of the invention specified in the claims, and that the limitation "wherein the display device is adapted to display a plurality of selections, and at least one of the plurality of award values are associated with the selections" as recited in Claims 4, 16 and 26 must be shown. Applicants have added new Fig. 15 (and a description of new Fig. 15 to the specification) to illustrate the subject matter defined by such claims. No new matter has been added by new Fig. 15 or the amendments to the specification. Accordingly, Applicants submit that new Fig. 15 has overcome such objection to the drawings.

The Office Action objected to Claims 1, 13 and 24, stating that a correction of informalities is required. Applicants have amended Claims 1, 13 and 24 in response to the objections. Accordingly, Applicants respectfully submit that the objections have been overcome.

The Office Action rejected Claims 1 to 32 under 35 U.S.C. §103(a), as being unpatentable over U.S. Patent No. 6,692,355 to Baerlocher et al. ("Baerlocher") in view of U.S. Patent No. 6,336,860 to Webb ("Webb"). Applicants respectfully disagree with and traverse such rejection.

Applicants respectfully submit that Baerlocher et al. is disqualified as prior art under 35 U.S.C. § 103(c) because at the time the present invention was conceived, the

subject matter of Baerlocher and the present invention were both subject to an obligation of assignment to IGT, a Nevada corporation.

The present application is assigned to IGT. The assignment from Andrea C. Hughs-Baird and Megan N. Schlegel to IGT was recorded January 9, 2004. A copy of the Notice of Recordation and the recorded assignment to IGT for the present application are enclosed herewith. Baerlocher is also assigned to IGT. Baerlocher was filed April 8, 2003 and claims priority to a parent application filed on July 27, 2000. The assignment from Anthony J. Baerlocher and Peter Gerrard to IGT was recorded on April 8, 2003. A copy of the Notice of Recordation and the recorded assignment to IGT for Baerlocher are enclosed herewith. Accordingly, Applicants respectfully submit that under § 103(c), Baerlocher is not prior art to the present application.

As stated in the Office Action, Webb relates to a gaming device with game symbols having a first defining criteria (e.g., a value or rank) and a second defining criteria (e.g., a color or shape), wherein the second defining criteria can be used to provide the player with an additional award when the second defining criteria of two or more game symbols is the same irrespective of the first defining criteria.

Amended independent Claim 1 is directed to a gaming device including, amongst other elements, a plurality of award values, a plurality of modifier values, an award adapted to be provided to the player based on at least one generated award value and at least one generated modifier value, and an additional award adapted to be provided to the player if the generated award value and the generated modifier include the same or related characteristics.

Unlike amended independent Claim 1, Webb does not teach, disclose or suggest a gaming device including a plurality of award values, a plurality of modifier values, an award adapted to be provided to the player based on at least one generated award value and at least one generated modifier value, and an additional award adapted to be provided to the player if the generated award value and the generated modifier include the same or related characteristics. For this reason alone, Applicants respectfully submit that amended independent Claim 1 is patentably distinguished over Webb and in condition for allowance.

Claims 2 to 12 depend directly or indirectly from amended independent Claims 1 are also allowable for the reasons given with respect to Claim 1, and because of the additional features recited in these claims.

Similar to amended independent Claim 1, amended independent Claims 13, 24 and 26 are each directed to a gaming device/method of operating a gaming device including, amongst other elements, a plurality of first values/first outcomes, a plurality of second values/second outcomes, an award adapted to be provided to the player based on at least one generated first value/first outcome and at least one generated second value/second outcome, and an additional award adapted to be provided to the player if the generated first value/first outcome and the generated second value/second outcome include the same or related characteristics. As described above and unlike the gaming device/method of operating a gaming device of amended independent Claims 13, 24 and 26, Webb does not teach, disclose or suggest a plurality of first values/first outcomes, a plurality of second values/second outcomes, an award adapted to be provided to the player based on at least one generated first value/first outcome and at least one generated second value/second outcome, and an additional award adapted to be provided to the player if the generated first value/first outcome and the generated second value/second outcome include the same or related characteristics. Accordingly, for this reason and the reasons given with respect to amended independent Claim 1, Applicant respectfully submits that independent Claims 13, 24 and 26 are patentably distinguished over Webb and in condition for allowance.

Claims 14 to 23, 25, 27 to 32 depend directly or indirectly from amended independent Claims 13, 24 and 26 are also allowable for the reasons given with respect to Claims 13, 24 and 26, and because of the additional features recited in these claims.

An earnest endeavor has been made to place this application in condition for allowance, and such allowance is courteously solicited. If the Examiner has any questions related to this Response, Applicants respectfully request that the Examiner contact the undersigned.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

Adam H. Masia Reg. No. 35,602

Customer No. 29159

Dated: October 18, 2005



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JULY 19, 2004

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BELL BOYD & LLOYD LLC ADAM H. MASIA P.O. BOX 1135 CHICAGO, IL 60690-1135

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RECORDATION DATE: 01/09/2004

REEL/FRAME: 014865/0398

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS). DOCKET NUMBER: 01123001410

**ASSIGNOR:** 

HUGHS-BAIRD, ANDREA C.

DOC DATE: 09/30/2003

ASSIGNOR:

SCHLEGEL, MEGAN N.

DOC DATE: 10/05/2003

ASSIGNEE:

IGT

PATENT NUMBER:

9295 PROTOTYPE DRIVE RENO, NEVADA 89521

SERIAL NUMBER: 10657588

FILING DATE: 09/08/2003

ISSUE DATE:

TITLE: GAMING DEVICE HAVING AWARD POSITIONS WITH ASSOCI

BELL, BOYD & LLOYD INTELLECTUAL PROPERTY DOCKET

JUL 2 8 2004

P.O. Box 1450, Alexandria, Virginia 22313-1450 - www.usp

014865/0398 PAGE 2

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# 01-13-2004



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Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)	SET U.S. Patent and Trademark Office
To the Honorable Commissioner of Patents and Trademarks:	Please record the attached original documents or copy thereof.
1. Name of conveying party(ies): Andrea C. Hughs-Baird Megan N. Schlegel	2. Name and address of receiving party(ies):  Name: IGT  Internal Address:
Additional name(s) of conveying party(ies) attached? Yes No  3. Nature of conveyance:  x Assignment Merger	Street Address: 9295 Prototype Drive
_ Security Agreement _ Change of Name _ Other	City: Reno State: NV Zip: 89521  Country: USA
Execution Date(s): 9/30/03, 10/5/03	Additional name(s) & address(es) attached? Yes X No
If this document is being filed together with a new application.  A. Patent Application No.(s)  10/657,588	B. Patent No.(s)
Additional numbers at	tached? Yes x No
5. Name and address of party to whom correspondence concerning document should be mailed:  Adam H. Masia Bell, Boyd & Lloyd LLC P.O. Box 1135 Chicago, Illinois 60690-1135	6. Total number of applications and patents involved: 1  7. Total fee (37 CFR 3.41)\$\frac{40.00}{\times}\$  \times \text{Enclosed}  \text{Authorized to be charged to deposit account}  8. Deposit account number:  02-1818  (Attach duplicate copy of this page if paying by deposit account)
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Adam H. Masia (Reg. No. 35,602)  Name of Person Signing	information is true and correct and any attached copy is a    Harin January 5, 2004     Signature Date

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01/12/2004 DBYRNE

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#### **ASSIGNMENT**

WHEREAS, the undersigned, to wit: ANDREA C. HUGHS-BAIRD and MEGAN N. SCHLEGEL (hereinafter "ASSIGNORS"), are the lawful owners of an invention known as Docket No. 0112300-1410 and entitled: GAMING DEVICE HAVING AWARD POSITIONS WITH ASSOCIATED CHARACTERISTICS, for which a patent application of the United States was filed on September 8, 2003 in the United States Patent and Trademark Office having Application Serial No. 10/657,588;

AND WHEREAS, IGT (hereinafter "ASSIGNEE"), a corporation duly organized and existing under the laws of Nevada and having its principal office and place of business at 9295 Prototype Drive, Reno, Nevada, 89521-8986, USA, desires to acquire the entire right, title, and interest therein;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, said ASSIGNORS do hereby sell, assign, and transfer, and hereby set over unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to said invention in the United States of America and all foreign countries, including, without limitation, said invention as described in the aforesaid application, to be held and enjoyed by said ASSIGNEE, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by said ASSIGNORS had this assignment and sale not been made, including, without limitation, all rights to the aforesaid application and to any United States Letters Patent therefor, when issued together with all improvements thereon and betterments thereof, all related applications including, but not limited to, divisions, continuations, continuations-in-part, reissues and reexaminations thereof and substitutions of or for said application, and all foreign rights including the right to make application for Letters Patent for said inventions in any and all foreign countries and the right to claim priority as to the filing date under the International Convention on the basis for the aforesaid application for United States Letters Patent; and ASSIGNORS hereby authorize and request the Commissioner of Patents to issue all Letters Patent issuing therefrom to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

ASSIGNORS hereby agree to execute any papers, provide any information and testify in any interference or litigation at the request of ASSIGNEE, its successors, assigns and legal representatives, when deemed essential to ASSIGNEE's, its successors', assigns' and legal representatives' full enjoyment, protection, enforcement and title in and to such invention and rights hereby transferred.

ASSIGNORS furthermore agree upon request of said ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, to execute any and all papers desired by said ASSIGNEE, its successors, assigns and legal representatives, for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE, its successors, assigns and legal representatives.

**Date Signed** 

Mame: Andrea C. Hughs-Baird

Oct 5,2003

Address:

4830 Hilton Court Reno, NV 89509

State of Nevada
)
County of Owner
)

On this \_\_\_\_\_\_\_\_, day of \_\_\_\_\_\_\_\_\_\_, 2003, before me, a notary public, in and for the aforementioned state and county, personally appeared the person whose name is subscribed to the foregoing instrument, and executed the foregoing instrument in my presence for the purpose contained therein, by signing her name hereto.

IN WITNESS WHEREOF, I hereto set my hand and official seal.

Date: 10-05-03

Notary Public

My Commission Expires:

KIMBERLEY ANN DIMINO
Notary Public - State of Nevada
Appointment Recorded in Washoe County
No: 95-0374-2 - Expires September 18, 2007

9-30-03

Address:

10629 Vista Bonita Lane

Reno, NV 89511

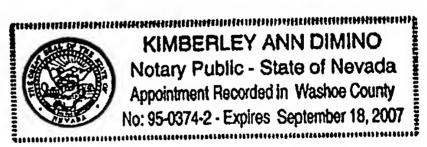
State	of	Nev	reda

2003, before me, a notary public, in and for the aforementioned state and county, personally appeared the person whose name is subscribed to the foregoing instrument, and executed the foregoing instrument in my presence for the purpose contained therein, by signing her name hereto.

IN WITNESS WHEREOF, I hereto set my hand and official seal.

Notary Public

My Commission Expires:



Docket No: <u>0112300-1410</u>

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Andrea C. Hughs-Baird	Name: IGT				
Megan N. Schlegel	Internal Address:				
Additional name(s) of conveying party(ies) attached? Yes X No					
3. Nature of conveyance:	Street Address 0205 Protect Print S				
<u>x</u> Assignment _ Merger	Street Address: 9295 Prototype Drive				
_ Security Agreement _ Change of Name	City: Reno State: NV Zip: 89521				
_ Other	Country: USA				
Execution Date(s): <u>9/30/03</u> , <u>10/5/03</u>	Additional name(s) & address(es) attached? Yes X No				
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If this document is being filed together with a new applica	tion, the execution date of the application is:				
A. Patent Application No.(s) 10/657,588	B. Patent No.(s)				
Additional numbers at	ached? Yes X No				
5. Name and address of party to whom correspondence	6. Total number of applications and patents involved: 1				
concerning document should be mailed:	7. Total fee (37 CFR 3.41)\$ 40.00				
Adam H. Masia	<u>x</u> Enclosed				
Bell, Boyd & Lloyd LLC P.O. Box 1135	_ Authorized to be charged to deposit account				
Chicago, Illinois 60690-1135	8. Deposit account number:				
	02-1818				
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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.					
Adam H. Masia (Reg. No. 35,602)	January 5, 2004				
Name of Person Signing	Signature Date				
Total number of pages including cover sheet, attachments, and documents: 4					

SEPTEMBER 10, 2003

ADAM H. MASIA

P.O. BOX 1135

CHICAGO, IL 60690

BELL, BOYD & LLOYD LLC

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Deputy Under Secretary of Commerce For Intellectual Property and Deputy Director of the United States Patent and Trademark Office Washington, DC 20231 www.uspto.gov

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RECORDATION DATE: 04/08/2003

REEL/FRAME: 013955/0607

NUMBER OF PAGES: 4

ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS). BRIEF:

**ASSIGNOR:** 

BAERLOCHER, ANTHONY J.

DOC DATE: 07/13/2000

ASSIGNOR:

GERRARD, PETER

DOC DATE: 07/24/2000

ASSIGNEE:

IGT

P.O. BOX 1135

RENO, NEVADA 89521

SERIAL NUMBER: 10410019

LAZENA MARTIN, EXAMINER

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PATENT NUMBER:

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ISSUE DATE:

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Anthony J. Baerlocher and Peter Gerrard	2. Name and address of receiving party(ies):		
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🗵 Assignment 🗆 Merger	Street Address: P. O. Box 1135		
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Other	City: Reno State: NV ZIP: 89521		
Execution Date: July 13, 2009 and July 24, 2009	Asiditional name (a) Standard (a) August 10 Day 5		
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4. Application number(s) or patent numbers(s):			
If this document is being filed together with a new application	, the execution date of the application is: 7-13 & 24, 2000		
A. Patent Application No.(s)	B. Patent No.(s)		
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Additional numbers attac	hed? 🔲 Yes 🗵 No		
5. Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved:		
Name: Bell, Boyd & Lloyd LLC	7. Total foo /27.05D 2:44)		
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/11/ <del>2003 ANABI1 00000022 10410019 \</del>	credited or debited to deposit account		
FC:8021 40.00 DP	Authorized to be charged to deposit account		
Street Address: P. O. Box 1135	8. Deposit account number:		
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City: Chicago State: IL 7IP 60690	(Attach duplicate copy of this page if paying by deposit account)		
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or the original document.	7/ 0		
Adam H. Masia (Reg. No. 35,602)	H. Lusig April 8, 2003		
Name of Person Signing	Signature Date		
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#### **ASSIGNMENT**

WHEREAS, the undersigned, to wit: ANTHONY J. BAERLOCHER and PETER GERRARD (hereinafter "ASSIGNORS"), are the lawful owners of an invention known as Docket No. 0112300-010 and entitled: GAMING DEVICE HAVING SEPARATELY CHANGEABLE VALUE AND MODIFIER BONUS SCHEME, for which a Patent Application of the United States executed even date herewith and is about to be filed in the United States Patent and Trademark Office;

AND WHEREAS, IGT (hereinafter "ASSIGNEE"), a corporation duly organized and existing under the laws of the State of Nevada and having its principal office and place of business at 9295 Prototype Drive, Reno, Nevada, 89511, USA desires to acquire an interest therein;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, said ASSIGNORS do hereby sell, assign, and transfer, and hereby agree to assign, unto ASSIGNEE, it successors, assigns and legal representatives, the full and exclusive right, title and interest to said invention in the United States of America and all foreign countries, as described in the aforesaid application, to be held and enjoyed by ASSIGNEE, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment and sale not been made, including, without limitation, all rights to all applications, continued prosecution applications, continuations-in-part applications, divisional applications, reissues and substitutes of or claiming the benefit of said application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and ASSIGNORS hereby authorize and request the Commissioner of Patents to issue all Letters Patents issuing therefrom to ASSIGNEE, for its interest as ASSIGNEE; its successors, assigns and legal representatives.

ASSIGNORS hereby agree to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's, its successors assigns and legal representatives full protection and title in and to the invention hereby transferred.

ASSIGNORS furthermore agree upon request of said ASSIGNEE, its successors, assigns and legal representatives, and without further remuneration, to execute any and all papers desired by said ASSIGNEE, its successors, assigns and legal representatives, for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE, its successors, assigns and legal representatives.

RETERIEUWARD HOLDEN 1781/69. NOTARY PUBLIC STALYBRIDGE

Signature Athon PRO	Date Signed
Name: Anthony J. Baerlocher	7-13-00
Address: 3339 Skyline Blvd. Reno, NV 89509	
State of Nevada  On this 2 day of and for the aforementioned state and county, personally subscribed to the foregoing instrument, and executed the full the purpose contained therein, by signing his name hereto.	or going mistrument in my presence for
IN WITNESS WHEREOF, I hereto set my hand an Date: 1/3/00  My Commission Expires: 4-3-20 Notary Pub.	in How
	JENNIFER HICKS  Notary Public - State of Nevada  Appointment Recorded in Washoe County  No: 00-61953-2 - Expires April 2 and 1

RETER EDWARD HOLDES 7181/69: NOTARY PUBLIC STALYPRINGE

No: 00-61953-2 - Expires April 3, 2004

ja Litaring of the Signature

Name: Peter Gerrard

**Date Signed** 

24rd Jun 2000

Address: 10 Albert Street

Prestwich

Manchester M25 1HN

**England** 

**NOTARIZATION** 

STATE OF UNITED KING DOTT, ENGLAND COUNTY OF GREATERTANCHESTER, ASHTON-UNDER-LYNE

On this Z4th day of July Z000 before me PETER EDWARD HOLDEN a Nobary Public in and for the afrementioned state and Country personally appeared PETER GETRARD whose name is subscribed in the Fregoing instrument and executed the fregoing instrument in my presence for the surpose Contained therein by Signing his name theretic

Seed at Ashten-under-Lyne, England, Mis Zett day of

TOTARY PUBLIC STUVENTON PETER EDWARD HOLDED NOTARY PUBLIC STALYBRIDGE ENGLAND

MY COMMISSION EXPINES WITH UFE.

1.1.2 Photo

locket No.: 0112300-1359

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1. Name of conveyin Anthony J. Baerloo	g party(ies):						eiving party(ies)		<u></u>
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				Inte	ernal Addres	ss:		.s.	
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Other				City:	Reno		State: NV	ZIP: 89521	
Execution Date: July	y 13, 2000 and Jul	y 24, 2	000	— Addition	nal name(s)	& address(es	) attached?	Yes 🗵 No	
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